Learning Accuracy with Particle Swarm Optimization for Music Genre Classification Using Recurrent Neural Networks

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1. INTRODUCTION

Data mining is a well-developed method of extracting valuable insights from vast amounts of data. This process typically involves two main stages: data preparation and mining and the interpretation and analysis of the results obtained [\[1\]](#page-10-0). One data mining trend is utilizing the algorithms provided by Deep Learning. Deep learning has demonstrated impressive achievements in a range of machine learning assignments [\[2\]](#page-10-1); this is evidenced by the many cases that can be solved by Deep learning, such as estimation [\[3\]](#page-10-2), prediction [\[4\]](#page-10-3), classification [\[5\]](#page-10-4), and clustering [\[6\]](#page-10-5). However, even though the scope of problems that can be solved by deep learning is vast, it is difficult to determine the right hyperparameters to apply to the machine consuming the Deep learning algorithm. One of the hyperparameters that needs to be tuned is the learning rate. The learning rate is a parameter that decides how much a model should adjust its parameters based on the error each time they are updated. Choosing the right learning rate is crucial because if it's too low, the model takes a long time to reach the best error point, and if it's too high, the model may deviate from the optimal error point [\[7,](#page-10-6) [8\]](#page-11-0). The learning rate is generally expected to fall between a range of values, typically from a bit over 10-6 to almost 1. However, for most networks, a value of 0.01 is the usual or standard value [\[9\]](#page-11-1).

Several studies investigate the effect of learning rate on the model's accuracy; for example, the study conducted in 2021 aimed to determine the appropriate learning rate to be used in the Convolutional Neural Network (CNN) algorithm; the results of the study found that the CNN algorithm successfully classified images with an accuracy value of 99.4% using a learning rate of 0.0001 [\[10\]](#page-11-2). In addition, there was also a similar study carried out in 2023; the focus of that study was to investigate the conduct of different optimization algorithms that rely on gradients and are commonly employed in Deep Learning. That study also aims to compare the efficiency of these algorithms across various learning rates. In that study, the learning rate value is determined manually by selecting from a range of options, including 0.001, 0.003, 0.01, 0.03, and 0.1 [\[11\]](#page-11-3). Moreover, captivating insights about the learning rate have been unveiled through a comprehensive investigation in 2019. The study entailed a comparative analysis between the Back Propagation and Learning Vector Quantization (LVQ) approaches. The findings demonstrated that the backpropagation method achieved an impressive pattern recognition accuracy rate of 99.17% when utilizing a learning rate variation of 0.1 and an epoch of 100. Conversely, the learning vector quantization method exhibited a slightly lower accuracy rate of 96.67% with a learning rate variation of 1 and an epoch of 20 [\[12\]](#page-11-4). The findings mentioned above indicate a significant relationship between the learning rate and the accuracy attained by the model. Furthermore, there are additional investigations have been conducted on this topic, such as Rethinking Class-Balanced Methods for Long-Tailed Visual Recognition from a Domain Adaptation Perspective [\[13\]](#page-11-5), Learning Texture Invariant Representation for Domain Adaptation of Semantic Segmentation [\[14\]](#page-11-6), Learning Rate Schedules for Self-Organizing Maps [\[15\]](#page-11-7), and a multitude of analogous investigations.

In Deep learning, various hyperparameters must be set to optimize the algorithm's performance, one of which is the learning rate. The choice of values for this hyperparameter is crucial because it can significantly impact the system's overall performance [\[16\]](#page-11-8). That is why machine learning programmers typically dedicate a considerable amount of time to fine-tuning the learning rate. Based on this issue, this research aims to determine the optimal learning rate value using the Particle Swarm Optimization (PSO) algorithm. Furthermore, this study aims to analyze the accuracy obtained based on the learning rate value obtained from PSO. Additionally, this research evaluates whether the learning rate value affects the computation time during the training phase. Several combinations of particles have been tested to expand the search area for the optimal learning rate value. This research is expected to provide insights into improving the Deep learning training process by determining the optimal learning rate value using the PSO algorithm. The results of this research can potentially help developers create more accurate and efficient models with less time and effort. This study utilizes the Recurrent Neural Network (RNN) algorithm and the GTZAN (George Tzanetakis Genre Collection) dataset [\[17\]](#page-11-9) to evaluate the effectiveness of PSO in finding the optimal learning rate value in the case of music genre classification.

This article is structured as follows: Section 2 outlines the methodology employed to achieve the objectives outlined earlier. This section encompasses essential stages, including data preprocessing, comprehensive data analysis, feature selection, and model presentation, and it explains how particle swarm optimization can enhance the learning rate to improve model accuracy. In Section 3, we present the results obtained from testing the Recurrent Neural Network in the domain of Music Genre Classification, with a focus on the learning rate suggested by previous studies. Furthermore, this section also presents the findings of the learning rate derived from the Particle Swarm Optimization approach throughout the experimentation period. Finally, Section 4 concludes the article, offering insights into future research directions and potential areas of improvement.

2. RESEARCH METHOD

This research uses a quantitative methodology to improve the accuracy of the Recurrent Neural Network model by utilizing Particle Swarm Optimization for optimization purposes in the case of Music Genre Classification. The music genre dataset used in this study is sourced from the GTZAN public dataset. The various phases of the research process performed in this study are elucidated in a research methodology flowchart, as illustrated in Figure [1.](#page-2-0)

Figure 1. Research Method

2.1. Preprocessing

One of the steps involved in data mining is preprocessing, which involves transforming raw data into a more comprehensible format [\[18\]](#page-11-10); in this study, preprocessing was conducted in two stages: data analysis and feature selection. The data analysis stage aims to view data more simply and understandably. The GTZAN dataset [\[17\]](#page-11-9) is a music genre dataset that has been extracted so that deep learning can directly process it. Here are some examples of data provided by the dataset in Table [1.](#page-2-1)

(Continued on the next page)

The data shown in Table [1](#page-2-1) above is a small portion of the total data provided by the GTZAN dataset. The GTZAN dataset's total data includes 1000 rows of data, 58 features, 1 ID, 1 label, and 10 classes. The distribution of data for each class is in Figure [2.](#page-3-0)

Figure 2. Distribution of Data

There are 1000 rows of data and 10 classes in the dataset. According to Figure [2,](#page-3-0) each class has an equal data distribution of 10%, meaning there are 100 rows of data for each class. Based on this information, this research performs a binary classification of music genres for each class in the datasetfeature Selection. The GTZAN dataset provides a variety of 58 features that can be used in

deep learning, and a number of these features show significant correlations with each other. This relationship is highlighted in Figure [3.](#page-4-0)

Figure 3. Matrix of correlations before removing correlated features

Based on Figure [3](#page-4-0) above, more than 50% of the features have a very high correlation coefficient between one another. Therefore, all features with a coefficient greater than 0.6 have been removed to avoid multicollinearity, leaving only 16 features, as shown in Figure [4.](#page-4-1)

Figure 4. Matrix of correlations before removing correlated features

After conducting feature analysis and selecting appropriate data, his research develops an RNN model to classify the data based on the selected features.

2.2. Creating Default Model (RNN)

One of the most widely used models for analyzing sequential data is Recurrent Neural Networks (RNNs). These networks apply the same operation to each input token in sequence. RNNs are built with a recurrent structure that enables them to identify dependencies among different sequences' tokens. This quality has been effective in numerous applications, such as natural language processing and speech recognition [\[19\]](#page-11-11). This research uses a Recurrent Neural Network (RNN) architecture, as shown in Figure [5.](#page-5-0)

Figure 5. The Recurrent Neural Network (RNN) architecture for binary music genre classification

The model consists of three layers. The first layer is a Simple RNN layer with 10 units, using the relu activation function. This layer returns a sequence of outputs, as the parameter return sequences is set to True. The second layer is a Dropout layer with a rate of 0.2, randomly dropping out a certain percentage of the input units during training to prevent overfitting. The third layer is another Simple RNN layer with 128 units and a ReLU activation function, followed by another Dropout layer with a rate of 0.2. The final layer is a Dense layer with 10 units and a softmax activation function used for multi-class classification problems. Overall, the model uses two Simple RNN layers with dropout regularization to avoid overfitting, followed by a dense output layer for classification.

2.3. Find Learning Rate Using PSO

Particle Swarm Optimization (PSO) algorithm is a population-based optimization technique inspired by the social behavior of fish schooling or bird flocking; in other words, Particle swarm optimization (PSO) is a stochastic population-based optimization algorithm inspired by the interactions of individuals in a social world [\[20\]](#page-11-12), introduced by Kennedy and Eberhart. It has similarities to transformative computation methods such as Genetic Algorithm (GA). PSO begins with a group of irregular arrangements and refreshes generations to look for optima. Unlike GA, PSO doesn't have evolution administrators such as mutation and crossover. The algorithm's potential solutions, referred to as particles, move through the problem space by following the current optimal particles [\[21\]](#page-11-13). A diagram illustrating the PSO methodology is presented in Figure [6.](#page-6-0)

Figure 6. Methodology of Particle Swarm Optimization (PSO)

Based on the methodology depicted in Figure [6,](#page-6-0) this study optimized the learning rate using the same methodology but modified it to be as indicated in Figure [7.](#page-6-1)

Figure 7. The PSO methodology for determining the RNN Learning Rate

Figure [7](#page-6-1) depicts the application of the PSO algorithm to the RNN algorithm to search for the appropriate learning rate. In the

scenario illustrated in the figure, the PSO algorithm halts only when one of the particles satisfies the criteria or discovers the optimal learning rate for the RNN model.

3. RESULT AND ANALYSIS

At this stage, we provide an overview of the analysis and results obtained in our research, which have been categorized into the following points.

3.1. Effect of Default Learning Rate on RNN Performance

As discussed in the previous sub-chapter, this study utilizes the Recurrent Neural Network model and the GTZAN dataset to evaluate model performance against learning rates determined by the Particle Swarm Optimization algorithm. However, before delving deeper into that topic, this study presents the performance results of the RNN model when classifying the GTZAN dataset using the default learning rate value of 0.01 [\[9\]](#page-11-1). The results obtained from our experiment are presented in Figure [8.](#page-7-0)

Figure 8. RNN model accuracy in GTZAN dataset classification with default learning rate

Figure 8 indicates that the highest accuracy value of 0.569 was achieved at epoch 25, but the accuracy value gradually decreased after that point. The graph also shows that the accuracy is unstable, resulting in an average accuracy of only 0.465. These results were produced by a model that ran 32 batches for 100 epochs. Ultimately, the final accuracy result is 0.218.

3.2. Comparing RNN Model Accuracy with Various Learning Rates in Prior Studies

Instead of using default values, previous research used learning rate values of 0.0001 [\[10\]](#page-11-2), 0.001, 0.003, 0.01, 0.03, and 0.1 [\[11\]](#page-11-3) for each model they used. Before attempting to use the learning rate generated by the PSO algorithm, this study also attempts to use the learning rate values from previous research to test whether they can produce better accuracy than the learning rate values generated by the PSO algorithm. The results of the experiment are presented in Figure [9.](#page-8-0)

Figure 9. RNN Model Accuracy with Previous Research Learning Rates

Based on the results shown in Figure [9,](#page-8-0) the RNN model achieved the highest accuracy of 0.933 in epoch 92 using a learning rate of 0.001. The average accuracy for this learning rate across epochs was 0.773, with the final accuracy reaching 0.914. It is worth noting that a learning rate of 0.003 also performed well, reaching the highest accuracy of 0.911 in epoch 95. The average accuracy for this learning rate was 0.768, with the final accuracy being 0.908. Despite a relatively low final accuracy value of 0.668, the learning rate of 0.0001 showed a positive trend in its graph. The computation time for this model was 62.785 seconds. In contrast, as the learning rates increased to 0.01, 0.03, and 0.1, the accuracies noticeably decreased, reaching values of 0.442, 0.096, and 0.105, respectively. Additionally, the computation times for these models were 67.628 seconds, 86.428 seconds, and 78.893 seconds, respectively.

3.3. Impact of Learning Rate Produce by PSO on RNN Model Accuracy

This study optimizes the learning rate using Particle Swarm Optimization on an RNN model with a search range starting from 0.001 as the lower limit and 0.002 as the upper limit. Additionally, the study explores optimization by considering various combinations in the search particles. The results of the PSO optimization are presented in the following Table [2.](#page-8-1)

Experiment	Learning Rate	Highest Accu-	Epoch	Accu- Average	Ultimate Accu-	Computation	Particle	Time Optimiza-
		racy		racy	racy	Time (s)		tion PSO (s)
	0.00100928	0.940	90	0.775	0.923	82.925	15	8481.20
↑	0.001019	0.935	97	0.780	0.909	55.960	15	3865.10
3	0.00123613	0.944	100	0.793	0.944	115.591	15	13632.60
4	0.00124751	0.959	90	0.801	0.945	86.109	10	2271.70
	0.0013035	0.951	97	0.792	0.929	63.715	10	12936.32
6	0.00146656	0.955	100	0.806	0.955	104.954	5	2457.40
	0.00149967	0.944	98	0.799	0.934	67.584	5	3165.70
8	0.00151369	0.946	96	0.807	0.929	76.510	5	1138.10
9	0.00157388	0.941	96	0.792	0.935	65.539	5	4364.60
10	0.00163064	0.957	98	0.795	0.942	74.940	10	5728.10
11	0.00177725	0.933	94	0.791	0.919	91.416	15	27923.10
12	0.00185998	0.940	89	0.812	0.926	58.596	10	16170.40

Table 2. Impact of Learning Rate Produced by PSO on RNN Model Accuracy Results

It is evident that a learning rate of 0.00146656 achieves the highest accuracy among the tested rates, with a value of 0.955. This learning rate also demonstrates a respectable average accuracy of 0.806 and an ultimate accuracy of 0.955. It accomplishes these results within 100 epochs and has a computation time of 104.954 seconds (about 1 minute 45 seconds). Notably, this performance is obtained with a particle size of 5 and a PSO time optimization of 2457.40, as shown in Figure [10.](#page-9-0)

Figure 10. RNN Model Accuracy Using Learning Rate Produce by PSO

High accuracy is likewise produced with a learning rate of 0.001574, with a maximum accuracy of 0.952, an average accuracy of 0.796, and a final accuracy of 0.945. These results are achieved within 94 epochs and take 63.7 seconds to compute. The particle size for this learning rate is 5, and the corresponding PSO time optimization is 4364.60. Another noteworthy learning rate is 0.001631, which exhibits a competitive highest accuracy of 0.951, an average accuracy of 0.807, and an ultimate accuracy of 0.946. These results are achieved within 97 epochs and a computation time of 60.9 seconds. The particle size for this learning rate is 5, and the corresponding PSO time optimization is 5728.10. Considering both accuracy and computation time, the learning rate of 0.00146656 stands out as the optimal choice. It achieves a high accuracy level of 0.955 within a relatively shorter computation time of 104.954 seconds (about 1 minute 45 seconds). Furthermore, it demonstrates a reasonably efficient PSO time optimization of 2457.40. Therefore, utilizing a learning rate of 0.00146656 with PSO time optimization can likely yield favorable results for the RNN model.

4. CONCLUSION

As a result, this study found that initially, testing using a learning rate of 0.01 gradually decreased accuracy after going through the 25th epoch and ended at an accuracy of 0.218 at the 100th epoch. An extensive exploration of various learning rates obtained from previous research (0.0001, 0.001, 0.003, 0.01, 0.03, and 0.1) was conducted to enhance the model's accuracy. Among these, the learning rate of 0.001 emerged as the most promising, exhibiting a peak accuracy of 0.933 during epoch 92. This learning rate showcased an impressive average accuracy of 0.773 and a final accuracy of 0.914. The learning rate of 0.003 also demonstrated notable performance, reaching a pinnacle accuracy of 0.911. Particle Swarm Optimization (PSO) was applied to the RNN model to further optimize the learning rate. The PSO algorithm explored various learning rate values within a search range of 0.001 to 0.002, with different particle sizes and optimization times. The results showed that a learning rate of 0.00146656 achieved the highest accuracy of 0.955, with an average accuracy of 0.806 and an ultimate accuracy of 0.955. This learning rate was performed within 100 epochs, taking 104.954 seconds (about 1 minute 45 seconds) to compute. Another notable learning rate was 0.001574, which achieved a maximum accuracy of 0.952, an average accuracy of 0.796, and a final accuracy of 0.945 within 94 epochs and 63.7 seconds of computation time. A learning rate of 0.001631 also demonstrated a competitive highest accuracy of 0.951. Considering both accuracy and computation time, the learning rate of 0.00146656 emerged as the optimal choice. It achieved a high accuracy level of 0.955 within a relatively shorter computation time of 104.954 seconds and a reasonably efficient PSO time optimization of 2457.40. Therefore, utilizing a learning rate of 0.00146656 with PSO time optimization holds the potential to yield favorable results for the RNN model, surpassing the performance of both the default learning rate and the learning rates from previous research. Future research recommendations entail conducting further investigations within the same domain, encompassing comparative analyses of diverse optimization algorithms to ascertain the superior algorithm in generating optimal learning rates. Additionally, comparing the computational time required to produce these learning rates would be valuable.

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6. DECLARATIONS

AUTHOR CONTIBUTION

Three authors conducted This research collaboratively, each contributing to different aspects of the project. Muhammad Rizki as Author 1, conceptualized and designed the study and collected, analyzed, and interpreted the data. Arief Hermawan, as Author 2, was responsible for the preparation of the manuscript. Lastly, Donny Avianto, as Author 3, carried out specific tasks within the research project. The authors would like to acknowledge their individual contributions to the completion of this study.

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We declare that I have no financial, general, or institutional competing interests to disclose.

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